

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5269

In Re Application Of: Hendricks, et al.



Serial No.  
09/427,938

Filing Date  
October 27, 1999

Examiner  
N/A

Group Art Unit  
2711

Title: Virtual On-Demand Electronic Book

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: October 3, 2002

  
Signature  
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	ATTY DOCKET NO. 5269	SERIAL NO. 09/427,938
	John S. Hendricks, et al.	
	FILING October 27, 1999	GROUP 2711

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,985,697	1/15/1991	Boulton	707	500.1	
	5,799,157	8/25/1998	Escallon	705	83	
	6,052,717	3/17/2000	Reynolds, et al.	707	218	
	4,855,725	8/8/1989	Fernandez	345	175	
	5,144,663	9/1/1992	Kudelski, et al.	380	230	
	5,438,372	8/1/1995	Tsumori, et al.	348	565	
	6,034,680	3/17/2000	Kessenich	345	733	
	5,093,718	3/3/1992	Hoarty, et al.	725	120	
	5,404,393	4/4/1995	Remillard	379	93.25	
	5,479,268	12/26/1995	Young, et al.	380	83	
	5,319,455	6/7/1994	Hoarty, et al.	725	34	

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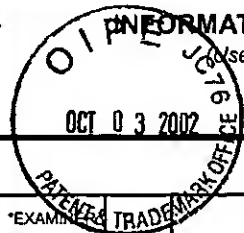
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/23819	7/3/1997	WIPO				
	WO 97/22110	6/19/1997	WIPO				
	WO 97/22067	6/19/1997	WIPO				
	WO 97/22065	6/19/1997	WIPO				
	WO 97/22102	6/19/1997	WIPO				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Caitlin Bestler, "Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications", June 1993, Pages 223-236
	Alexander Gelman, et al., "An Architecture For Interactive Applications", May 1993, Pages 848-852

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,014,125	5/7/1991	Pocock, et al.	725	93	
	5,481,296	1/2/1996	Cragun, et al.	725	136	
	5,644,354	7/1/1997	Thompson, et al.	725	138	
	5,724,091	3/3/1998	Freeman, et al.	725	138	
	5,663,757	9/2/1997	Morales	725	5	
	5,793,414	8/11/1998	Shaffer	725	133	
	5,570,126	10/29/1996	Blahut, et al.	725	93	
	5,940,073	8/17/1999	Klosterman, et al.	345	721	
	5,986,690	11/16/1999	Hendricks	725	60	
	5,446,490	8/29/1995	Blahut, et al.	725	97	
	5,761,485	6/2/1998	Munyan	345	839	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/22111	6/19/1997	WIPO				
	WO 97/22103	6/19/1997	WIPO				
	WO 97/22063	6/19/1997	WIPO				
	WO 97/22105	6/19/1997	WIPO				
	WO 97/22104	6/19/1997	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Henrie van den Boom, "An Interactive Videotex System", Nov. - Dec. 1986, Pages 397-401
	Nicole Yankelovich, et al., "Reading and Writing the Electronic Book", October 1985, Pages 16-30

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

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## U.S. PATENT DOCUMENTS

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	6,204,885	3/20/2001	Kwoh	348	564	
	5,477,262	12/19/1995	Banker, et al.	700	38	
	5,600,368	2/4/1997	Matthews, III	348	143	
	4,381,522	4/26/83	Lambert	348	143	
	5,500,794	3/19/1996	Fujita, et al.	700	83	
	5,396,546	3/7/1995	Remillard	379	93.24	
	5,206,929	4/27/1993	Langford, et al.	345	723	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/22109	6/19/1997	WIPO				
	WO 97/22112	6/19/1997	WIPO				
	WO 97/22079	6/19/1997	WIPO				
	WO 97/22049	6/19/1997	WIPO				
	WO 97/22100	6/19/1997	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Craig Boyle, et al., "A Survey and Classification of Hypertext Documentation Systems", June 1992, Pages 98-111
		Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynamic Web documents", June 1997

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 97/22080	6/19/1997	WIPO				
		WO 97/22106	6/19/1997	WIPO				
		WO 97/22062	6/19/1997	WIPO				
		WO 97/22107	6/19/1997	WIPO				
		JP 7230466 Abstract	8/29/1995	JP				

			Speech Technology; "Electronic Dictionary Pronounces Over 35,000 Words"; 1989; Pages 78-79

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**Form PTO-A820**  
**(also form PTO-1449)**

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SERIAL NO. 09/441,892

**FILING**  
**November 17, 1999**

GROUP	2711
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[illegible]

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 01/18665A1	3/15/2001	WIPO				
		EP0810534A2	12/3/1997	Europe				
		EP0472070A2	2/26/1992	Europe				
		EP0539106A2	4/28/1993	Europe				
		60-143086	7/29/1985	Japan				


DATE CONSIDERED

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(also form PTO-1449)

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5268

**09/441,892**

John Hendricks, et al.

FILING

**November 17, 1999**

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 93/22877	11/11/1993	WIPO				
		EP0420123A2	4/3/1991	Europe				
		WO 95/15649	12/2/1994	WIPO				
		WO 96/25006	8/15/1996	WIPO				


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